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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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| <p>(51) International Patent Classification <sup>7</sup> :<br/>A61M 25/10, A61B 17/12</p>   | <p>A1</p>   | <p>(11) International Publication Number: <b>WO 00/32266</b><br/>(43) International Publication Date: 8 June 2000 (08.06.00)</p> |
| <p>(21) International Application Number: PCT/EP99/09295<br/>(22) International Filing Date: 30 November 1999 (30.11.99)<br/>(30) Priority Data:<br/>SV98A000070 3 December 1998 (03.12.98) IT<br/>(71) Applicants (for all designated States except US): BENEDINI, Roberto [IT/IT]; Via Sereto, 7F, I-25040 Monticelli Brusati (IT). GARDELLI, Antonio [IT/IT]; Via Duccio Di Boninsegna, 6, I-40133 Bologna (IT).<br/>(71)(72) Applicant and Inventor: COPPI, Gioacchino [IT/IT]; Via Alsazia, 40/2, I-41100 Modena (IT).<br/>(74) Agents: MINOJA, Fabrizio et al.; Bianchetti Bracco Minoja S.r.l., Via Rossini, 8, I-20122 Milano (IT).</p>   | <p>(81) Designated States: AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).</p> <p>Published<br/>With international search report.<br/>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p> |  |
| <p>(54) Title: ENDOVASCULAR SYSTEM FOR THE TREATMENT OF STENOSES OF THE CAROTID AND CATHETER FOR THIS SYSTEM</p>  |   |  |
| <p>(57) Abstract</p> <p>The invention relates to a catheter, in particular for endovascular applications, comprising a long and flexible, hollow, tubular body (1) having an insertion end (4) and a connection end (3) intended to remain outside the body. According to the invention, the catheter comprises in the insertion end (4) at least two elements (9, 12) which are expandable/contractible by means of external operation. Said elements are located at a distance from each other, one upstream and the other downstream of a given section of a vessel. With the catheter it is possible to operate in the section comprised between the two expandable elements (9, 12) and, if necessary, in the intermediate arterial branch which, on account of the two elements (9, 12) upstream and downstream, will have a zero flow.</p> <div data-bbox="1101 1150 1284 1822"> <p>The diagram shows a vertical cross-section of a catheter system. A central tube (1) has an insertion end (4) at the bottom and a connection end (3) at the top. Two expandable elements, (9) and (12), are positioned at different heights along the tube. A dashed line (11) indicates a section of the vessel. Other labels include (2) for the tube wall, (6) for a side branch, and (7) for the top connection point. A dashed line (8) is also shown near the top.</p> </div> |   |  |

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**Description**

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Endovascular system for the treatment of stenoses of  
the carotid and catheter for this system

DESCRIPTION

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5 The invention relates to a catheter, in particular  
for endovascular applications, comprising a long and  
flexible, hollow, tubular body having an insertion end  
and a connection end intended to remain outside the  
body.

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10 In the medical field it is known that there exists  
the need to carry out suitable procedures for the  
treatment of vessels which are obstructed - at least  
over part of their diameter - by constrictions, or so-  
called "stenoses", arteriosclerotic plaques with or  
without superimposed thrombi, or the like, in order to  
restore the complete accessibility and functionality  
thereof. Said stenoses may hinder or even prevent the  
15 normal flow of substances which physiologically pass  
through the vessels. In particular in the case of the  
carotid artery, said stenoses may hinder or even  
prevent the normal flow of blood towards the organs in  
the head such as, for example, the brain and the eyes.  
20 Said stenoses may also release fragments of plaque or  
thrombi with the possibility of serious embolisms  
affecting the abovementioned organs.

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Normally these types of pathologies are dealt with by means of external surgery. Said surgery involves the incision of the skin and the underlying layers and isolation of the section of artery affected by the stenosis; it also involves clamping the artery itself, which is performed upstream and downstream of the stenosis so as to block the circulation temporarily. In particular, in the case of the carotid artery, said clamping is normally performed upstream and downstream of the carotid bifurcation, i.e. on the common carotid, on the internal carotid and on the external carotid. At this point it is envisaged operating on the section affected by the stenosis using the appropriate procedures which envisage removal of the obstructing plaque through the opening of the artery section concerned, which is then carefully cleaned and sewn up again directly or by means of application of a prosthetic widening tissue (called "patch"). The surgical method involves, however, closure of the section of the carotid artery operated on with interruption of the blood flow for a period of time of about 15-30 minutes. During this period of time, the flow of blood to the brain is compensated for by the flow coming from other arteries directed towards the brain.

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In 10-15% of cases this compensation of the blood flow is not sufficient and, after just 9 minutes of clamping, serious damage to the brain may occur. To avoid this, it is necessary to carry out extremely rapid operations with the risk of imprecision, or apply special devices consisting of temporary bridges (or "shunts") which are applied downstream of the section of carotid artery which is obstructed. These devices, however, cause an obstruction in the operating zone and may cause complications and, for these reasons, are used only if absolutely necessary. In order to identify the cases where shunts are required, numerous systems have been developed for monitoring the state of the brain or the cerebral blood flow. These systems are not devoid of errors or inaccuracies, so that many surgeons use the method of brain monitoring while operating on the patient in the conscious state and under a local anaesthetic. This method, which is the safest for avoiding brain damage during the operation, has the drawback that it subjects the patient to a great deal of stress and often is very painful, in particular in the case of patients with particular anatomical forms ("bull neck") or who have carotids affected by lesions or carotid bifurcations which are situated very high in the neck. The operation also

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involves the possibility of damage to the nerves in the neck, in particular in the case of repeated operations or necks subjected to radiotherapy, with unpleasant post-operative consequences.

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5 In view of the above situation, for several years now there has been the need to provide a new instrument for therapeutic treatment which allows treatment of pathologies such as those described or similar pathologies, using procedures which are less invasive or not invasive at all, in order to reduce as far as possible the risks for the patient, associated with a surgical operation. For this purpose, in about the year 1980, Matias was the first person to transfer the techniques of endoluminal dilation of the peripheral arteries to the carotid arteries. These techniques involve positioning a guide wire beyond the stenoses. A catheter equipped with an inflatable element (commonly called a "balloon") is then passed along the guide wire and the inflatable element is expanded in the region of the stenosis in order to dilate it. This method has been successful, but also involves many complications due to thromboses or embolisms.

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25 shaped meshwork structures which have the task of

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supporting the dilated section, holding in place the thrombus and plaque fragments. Despite this, the number of unsuccessful results have still been high.

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In order to avoid embolisms during the procedure, guide wires provided with a "balloon" to be applied inside the internal carotid artery, or a supporting catheter provided with an expandable element (or

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"balloon") to be applied to the common carotid artery, have been developed. These methods, however, are

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unable to prevent possible embolisms during the endoluminal manoeuvre since they do not provide protection during the initial stages of insertion of

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the guide wires ("Teron" method) and moreover they do not exclude the flow towards the brain through the

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external carotid artery.

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The object of the present invention is therefore that of providing a catheter which is able to overcome these drawbacks by means of occlusion or clamping

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involving the inflation of expandable elements (or "balloons") simultaneously inside the common carotid artery and inside the external carotid artery. This

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catheter must also have an operating channel which allows the stenotic artery sections to be dilated and the appropriate stents to be applied rapidly, sucking

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then inside the artery section concerned any embolism-

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producing material, thereby associating the safety of conventional surgery with the advantages of the endoluminal procedures.

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The invention therefore has the aim of providing, by means of simple and low-cost measures, a catheter of the type described initially which allows:

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- exclusion of a section of a vessel from the blood flow, in order to block temporarily the circulation of the substances which physiologically pass through them, so as to be able to carry out any treatment or manoeuvre inside the said vessel section;

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- the abovementioned isolation and subsequent treatment using procedures which are invasive to a minimal degree or not at all, and in particular the possibility of insertion in loco by means of an extremely small incision inside an artery situated at a distance (such as, for example, the femoral artery);

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- elimination of the need for a surgical operation, thereby reducing the risks for the patient, said risks always being associated with treatment of the invasive type;

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- treatment of vessel sections which have undergone a previous surgical operation and/or reduction of the operating zone also in the case of a first operation;

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- provision of an instrument for treatment which is extremely simple to use;

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- reduction in the duration and the costs of the operation and the period of hospitalisation and convalescence of the patient, resulting in considerable savings in the associated maintenance costs.

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The invention achieves the abovementioned objects by means of a catheter of the type described initially, comprising at the insertion end or distal end, at least two elements which are expandable/contractible by means of external operation.

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Said expandable/contractible elements may be arranged at a distance from one another such that one is able to operate upstream and the other downstream of a given section of a vessel or two different adjacent vessel sections.

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Said expandable/contractible elements may be adapted, with regard to their diameters in the expanded condition, to the diameters of the vessels inside which they are to be positioned, so as to occlude them entirely and block temporarily the blood circulation in the section or sections concerned.

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Said expandable/contractible elements located at the insertion end may be inflated/deflated by means of supply and discharge ducts provided in the thickness of

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the catheter wall. This solution allows the diameter of the catheter to be limited, facilitating insertion of the latter, and avoids occupying the internal central luminal duct, or operating channel, which is intended to convey the appropriate treatment means.

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The catheter may have a hollow tubular shape with a larger initial diameter provided externally, in particular at the distal end, with a first inflatable/deflatable element, operation of which is performed via one of the ducts provided within the thickness of the catheter.

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At least one of the other ducts provided within the thickness of the catheter may extend, over a certain length, into a second section of the catheter, of smaller diameter, which extends from the distal end of the larger-diameter section and terminates in a second inflatable/deflatable element, operation of which is performed via said duct.

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The catheter comprises a further duct in the wall thickness, which emerges at the tip of the entire catheter, namely at the distal end of the second smaller-diameter section. This duct has the function of conveying the guide wire.

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The hollow larger-diameter catheter section has a further central duct with a diameter suitable for

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conveying treatment means necessary for operating in the vessel section or sections comprised between the two inflatable/deflatable elements. Said treatment means may consist in balloon or stent catheters both of the self-expanding type and the type expandable by means of inflation, in which case the stent may be located at the distal end of an additional catheter of a suitable diameter and introduced via the central duct of the catheter according to the invention, so as to reach the vessel section inside which it must be applied.

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The section comprised between the two inflatable/deflatable elements may have a length of the order of between a few cm and about 10 cm, i.e. substantially equivalent to the longitudinal extension, for example, of stenoses in arterial vessels or the like or the section of a main vessel to be occluded and the first section of a bifurcation branch (as in the case of a common and external carotid), thus blocking any flow in the second dividing branch.

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For particular applications, the two elements may be provided at distances different from those indicated above.

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The catheter may have a first external larger diameter of the order of magnitude of about 12-13 French and in any case preferably not greater than 13-14 French

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(approximately 4 mm), while the second external smaller diameter may be of the order of magnitude of about 5 French (approximately 1.5 mm). For particular applications, diameters with measurements different from those indicated above may be envisaged.

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The catheter may have, in the connection end or proximal end, an end-piece intended to remain outside the patient's body. Said end-piece may be provided with tubular elements, which are preferably rigid or semi-rigid, having the function of providing a connection to the individual ducts.

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The catheter according to the present invention may be used advantageously in particular in an endovascular system for the treatment of stenoses of the carotid, in order to isolate an artery section inside which a stenosis is present, so as to block temporarily the blood flow inside the said vessel section. Said system may comprise the following steps:

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- positioning of a guide wire so that, when passing in particular inside the common carotid, its distal end is arranged inside the internal carotid;

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- insertion of the catheter on the guide wire, through the respective duct provided in the thickness of the catheter wall;

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25 - positioning of the catheter so that the end of

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the smaller-diameter extension is arranged, with respect to the direction of the blood flow, in particular in the external carotid and the larger-diameter end is arranged in particular inside the common carotid;

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5 - inflation of the two expandable/contractible elements via the two respective ducts provided in the thickness of the catheter wall;

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- treatment of the stenosis in the internal carotid by means of insertion of the appropriate instruments for treatment (guides, balloon catheters, stents, aspirators, angioscopic instruments, IVUS, devices for thrombolysis, catheters for angiographic checks, etc.) through the central duct;

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- aspiration through the central duct 2 of possible residues deriving from catheter expansion;

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- deflation of the two expandable/contractible elements via the two respective ducts provided in the thickness of the catheter wall;

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- final monitoring;

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- extraction of the catheter and the guide wire.

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All the abovementioned steps may be performed with continuous visual monitoring, thanks to one of the well-known existing techniques. In this connection, the tubular body of the catheter may comprise radiopaque markers for locating and identifying the said catheter.

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As a result of the series of measures described above it is possible to provide, using simple and low-cost means, a catheter which allows:

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5 - isolation of a section of a vessel so as to prevent temporarily the flow of the substances which physiologically pass through it, so as to be able to carry out any treatment inside this vessel section;

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10 - the abovementioned isolation and subsequent treatment using procedures which are only slightly invasive or not at all invasive, and in particular the possibility of insertion in loco by means of an extremely small incision situated at a distance (such as for example the femoral artery);

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15 - elimination of the need for a surgical operation, thereby reducing the risks for the patient, said risks always being associated with treatment of the invasive type;

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20 - treatment of vessel sections which have undergone previous surgery and/or reduction of the operating zone also in the case of a first operation;

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- provision of an instrument for treatment which is extremely simple to use;

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25 - reduction in the duration and the costs of the operation and the period of hospitalisation and convalescence of the patient, resulting in considerable

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savings in the associated maintenance costs.

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The additional features and any improvements of the invention will be described in the sub-claims.

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5 The characteristic features of the invention and the advantages arising therefrom will emerge more clearly from the following detailed description of the accompanying figures in which:

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Fig. 1 shows a side view in a preferred embodiment of the catheter according to the invention;

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Fig. 2 shows an enlarged cross-sectional view of the catheter according to Fig. 1, along the line I-I, a further catheter being inserted inside the central duct;

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15 Fig. 3 shows an enlarged longitudinally sectioned view of the catheter according to Fig. 1, with the inflatable/deflatable elements in the non-expanded condition;

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20 Fig. 4 shows a longitudinally sectioned view of a carotid artery, with the catheter in position and the inflatable/deflatable elements in the expanded condition.

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Figs. 5 and 6 are longitudinal sectional views as that of fig. 3, showing two slight different embodiments of the catheter according to the invention.

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With reference to the figures, and at the moment

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particularly to figures 1-4, a catheter according to the invention comprises a long, hollow, flexible tubular body 1. The tubular body 1 may comprise one or more tubular bodies, but in the preferred embodiment it comprises a single tubular element which has a central luminal duct 2 which extends from a connecting end, known as the proximal end 3, to an insertion or distal end 4.

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The tubular body 1 may be made, for example, by means of extrusion of a small and flexible material such as nylon, polyurethane, urethane, polyethylene, polyvinyl chloride (PVC), polyamides or the like, which allows the tubular body 1 to bend for easy positioning inside the vessel inside which the said body must be positioned.

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The external diameter of the tubular body 1 may be of the order of about 10-13 French (about 3-3.9 mm), and in any case preferably not greater than 13-14 French (3.9-4.2 mm), but may vary depending on the specific requirements.

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The central luminal duct 2, or operating duct, has a diameter preferably not greater than 7 French (2.1 mm).

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In the proximal end zone 3, the catheter has a connecting end-piece 5 which has a diameter greater

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than that of the tubular body 1 and which is intended  
to remain outside the patient's body.

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Three ducts 6, 7 and 8, which have substantially  
the same diameter as each other, are provided in the  
thickness of the wall of the tubular body 1, but, for  
further applications, a different number of ducts may  
be provided, if necessary also with diameters which are  
different from each other.

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The first duct 6 extends from the proximal end 3  
and emerges inside a first inflatable/deflatable  
element 9 which can be actuated by means of the duct 6  
itself. Said first inflatable/deflatable element is  
provided in the immediate vicinity of the distal end 4  
and basically consists of a balloon 9 which is applied  
outside the wall of the tubular body 1 or is formed  
integrally with the latter. The diameter of said first  
balloon 9 in the expanded condition is such as to fit  
perfectly the internal diameter of the vessel for which  
it is intended, in particular the common carotid 10, in  
order to block temporarily the blood flow.

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The second catheter 7 extends from one end to the  
other of the catheter, including that of the smaller-  
diameter extension, and forms the channel for the  
introduction of a guide wire.

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The third duct 8 extends from the proximal end 3

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and extends beyond the distal end 4 by means of an extension 11 with an external diameter which is considerably smaller than that of the tubular body 1, in particular by about 5 French (approximately 1.5 mm).

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5 Said third duct emerges inside a second inflatable/deflatable element 12 located at the distal end of the extension 11. Said second element consists of a balloon 12 which is inflatable/deflatable by means of the duct 8 itself and may be applied to the distal end of the extension 11 or formed integrally therewith.

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The diameter of said second balloon 12, in the expanded condition, is such as to fit perfectly the internal diameter of a vessel inside which said balloon is intended to be applied, in particular the external carotid 210, in order to block temporarily the flow of the blood.

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The two balloons 9 and 12 are provided at a distance of about 10 cm from each other, but may be provided at different distances depending on the specific requirements.

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The balloons 9 and 12, when not inflated, can also be comprised within the profile of the catheter portion onto which they are fitted.

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The end-piece 5, at the proximal end 3 is provided with small rigid or semi-rigid pipes 13 for connecting

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each duct 6, 7 and 8 and the associated apparatus. Said apparatus may consist, for example, of means for inflating/deflating the balloons 9 and 12 by means of the ducts 6 and 8 and guide wires passing through the duct 7. The part connecting the main lumen of the catheter may have a removable valve and a lateral header.

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With particular reference to Fig. 4, this shows a carotid artery in the zone close to the carotid bifurcation 310. The carotid shown has a stenosis 14 in the internal carotid section 110. The figure shows in detail the endovascular system for non-invasive treatment of the stenosis 14.

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After positioning the catheter in the zone concerned via the femoral artery, with the aid of a guide wire and known observation means, the first balloon 9 is arranged inside the common carotid 10, while the second balloon 12 is arranged inside the external carotid.

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At this point the balloons 9 and 12 are inflated by means of the respective ducts 7 and 8 with the effect of blocking the blood flow flowing from the common carotid and the reflux flow from the external carotid and stopping the flow in the internal carotid, the ostium of which remains accessible.

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Owing to the interruption of the blood flow, it is possible to operate on the internal carotid, while ensuring that there is no danger of embolisms being able to reach extremely delicate organs, such as the brain for example, via the internal carotid 110. Obstruction of the internal carotid is not necessary because the distal pressure in the region of the circle of Willis prevents any flow in the direction of the brain and, therefore, any embolism.

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At this point, the actual treatment is performed by means of the central duct 2 which constitutes an actual operating duct. By means of this operating channel, it is possible to introduce without danger a guide into the internal carotid; the catheters 15 for dilation and application of the stent 16 are then introduced. After the vessel section affected by the stenosis has been dilated and reinforced with the stent in the appropriate manner, aspiration of any waste matter is performed, a check carried out by means of angiography or other means, and renewed aspiration performed, if necessary, thereby completing the treatment procedure.

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This procedure may be easily implemented within safe time periods, also with regard to the 10% (ten percent) of cases involving patients who cannot

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tolerate clamping of the arteries (less than 5 minutes), as determined experimentally in normal endoluminal procedures.

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The embodiment of fig. 5 differs from that previously described in that the conduct 7 for the guide wire opens out of the tubular body 1 at a portion 20 located between the proximal end 3 and the balloon 9, instead of extending up to the proximal end 3 of the catheter.

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This embodiment makes the procedure for inserting the catheter very fast.

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According to the embodiment shown in fig. 6, the tubular body 1 does not provide for a lumen specifically designed for the passage of the guide wire. This means that the conduct 7 for the guide wire is provided only in the distal portion of the catheter, and has an opening 21 located between the balloons 9 and 12. Therefore the guide wire runs within the central lumen 2 of the tubular body 1.

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This embodiment allows to optimize the space available in the central lumen 2 of the tubular body 1.

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If desired, one end of the balloons 9 can be positioned at the opening of the central duct 2.

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Obviously, the invention is not limited to the embodiment and to the scope of treatment described and

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illustrated hitherto, but may be greatly varied and/or advantageously applied also in contexts different from the one described, without thereby departing from the basic principle described above and claimed below.

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5 In particular, the previously described balloons 9 and 12 can be either compliant or non-compliant as well as the catheters and/or the balloons can be coated or uncoated.

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10 The catheter according to the invention is preferably with soft and atraumatic tip and it can optionally have differentiated flexibility.

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## Claims

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CLAIMS

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1. Catheter in particular for endovascular applications, comprising a long and flexible, hollow, tubular body (1) having an insertion end (4) and a connection end (3) intended to remain outside the body, characterized in that it comprises in the insertion end (4) at least two elements (9, 12) which are expandable/contractible by means of external operation and which are located at a distance from each other such as to be able to operate one upstream and the other downstream of a given section of a vessel, said expandable/contractible elements (9, 12) being adapted and/or being able to be adapted, with regard to their diameters in the expanded condition, to the diameters of the vessels (10, 210) inside which they are intended to be positioned, so as to block temporarily the circulation inside said vessel section.

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2. Catheter according to Claim 1, characterized in that the two elements (9, 12) located at the insertion end (4) are inflatable/deflatable by means of supplying and discharge ducts (6, 8) which are provided inside the thickness of the catheter wall.

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3. Catheter according to Claims 1 and 2, characterized in that it has a tubular shape with a first larger diameter, provided on the outside with a

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first element (9) which is inflatable/deflatable by means of one (6) of the ducts provided in the thickness of the wall, whereas at least one other of the ducts (8) inside the thickness of the wall extends, after a certain length, with a second smaller diameter substantially of the order of magnitude of the duct itself (8) and terminates in a second inflatable/deflatable element (12).

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4. Catheter according to one or more of the preceding claims, characterized in that it comprises at least one additional duct (7) for a guide wire in the thickness of the wall, which emerges on the end side of the most distal end of the entire catheter, i.e. the distal end of a smaller-diameter extension (11).

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5. Catheter according to claim 4, characterized in that said conduct (7) for the guide wire opens out of the tubular body (1) at a portion (20) located between said proximal end (3) and said inflatable/deflatable element (9).

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6. Catheter according to claim 4, characterized in that said conduct (7) for the guide wire extends only in the distal portion of the catheter and has an opening (21) located between the inflatable/deflatable elements (9) and (12).

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7. Catheter according to one or more of the

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preceding claims, characterized in that it has an additional central duct (2) for conveying treatment means (15, 16) necessary for operating in the vessel section affected by stenosis.

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5 8. Catheter according to one or more of the preceding claims, characterized in that the length of the section comprised between the two inflatable/deflatable elements (9, 12) is generally of the order of a few centimetres to about 10 cm, substantially equivalent, for example, to the stenosis (14) of arterial vessels (110, 210) or the like or the length necessary for occluding a vascular trunk and an arterial bifurcation branch thereof, blocking the blood flow in the second branch.

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15 9. Catheter according to one or more of the preceding claims, characterized in that the first greater external diameter is of the order of magnitude of 12-13 French (about 3.9 mm), preferably not greater than 14 French (4.2 mm) while the second smaller diameter is of the order of magnitude of 5 French (about 1.5 mm).

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10. Catheter according to one or more of the preceding claims, characterized in that the connection end (3) has an end-piece (5) which is intended to remain outside the patient's body, which end-piece (5)

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is provided with tubular connection elements (13) connected to the individual ducts (6, 7 and 8).

11. Catheter according to one or more of the preceding claims, characterized in that a catheter according to one or more of Claims 1 to 10 is used to block the flow, towards the brain, of the internal carotid artery, inside which the stenosis (14) is present.

12. Use of a catheter according to Claims 1-11, comprising the following steps:

- insertion of the catheter inside the carotid artery via the femoral artery;

- positioning of the catheter so that the end of the smaller-diameter extension (11) is arranged, with respect to the direction of the blood flow, in the external carotid (210) and the larger-diameter end is arranged inside the common carotid (10);

- inflation of the two expandable/contractible elements (9, 12) via the two respective ducts (6, 8) provided in the thickness of the catheter wall;

- operation on the stenosis of the internal carotid via insertion of the appropriate treatment instruments (15, 16) through the central duct (2);

- aspiration through the central duct 2 of possible residues deriving from catheter expansion;

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- deflation of the two expandable/contractible elements via the two respective ducts (6, 8) provided in the thickness of the catheter wall;

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- final monitoring and extraction of the catheter and the guide wire.

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13. Catheter according to any one of the previous claims, characterized in that said tubular body (1) and said extension (11) have a different flexibility.

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10 14. Catheter according to claim 1, wherein the balloons 9, 10, when not inflated, are comprised within the profile of the catheter section onto which they are fitted.

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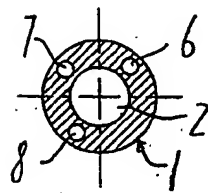
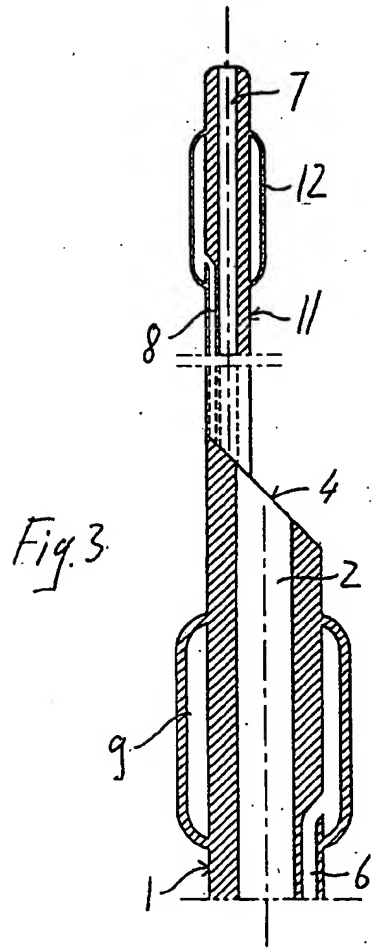
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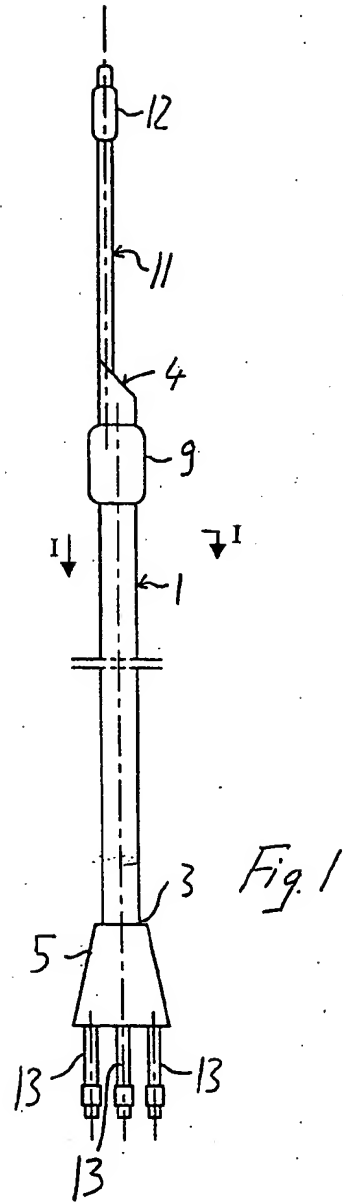
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*Fig. 2*



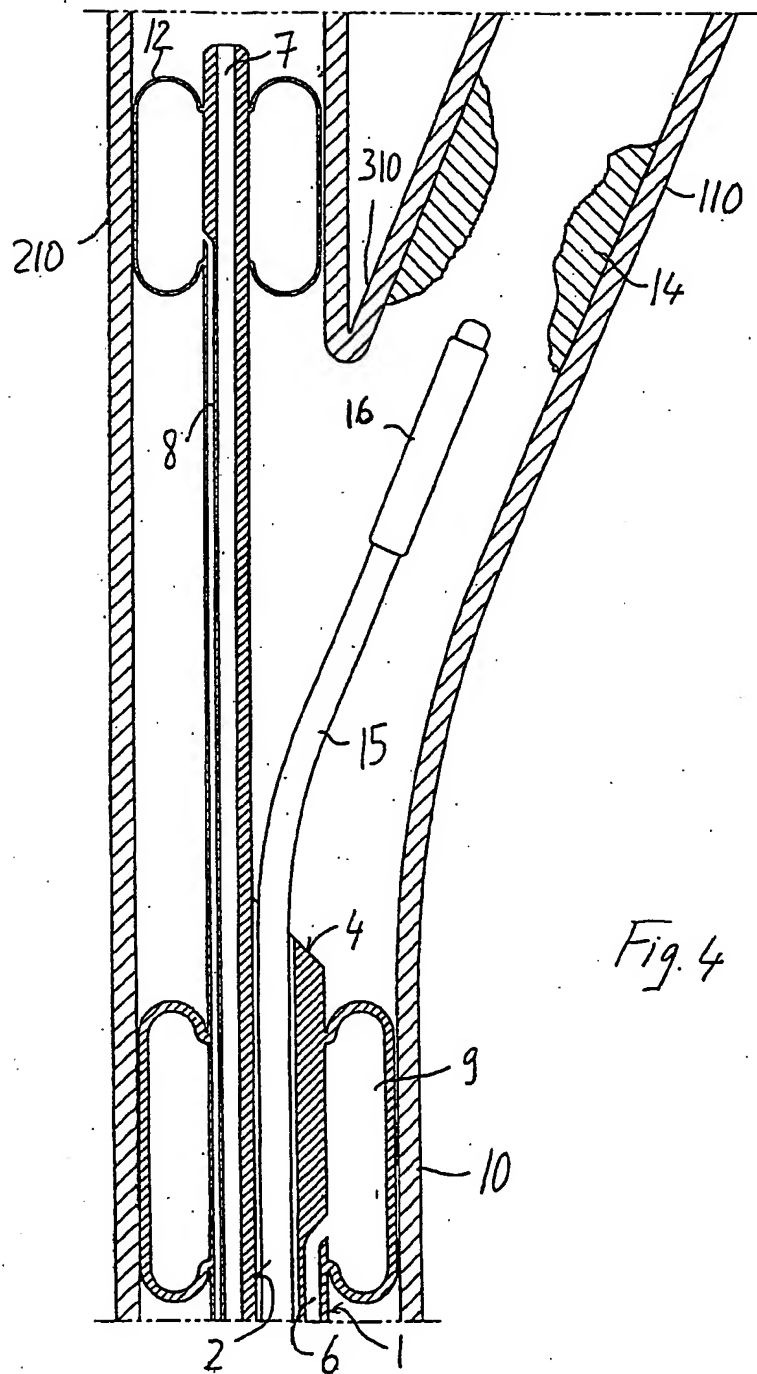


Fig. 4

FIG. 5

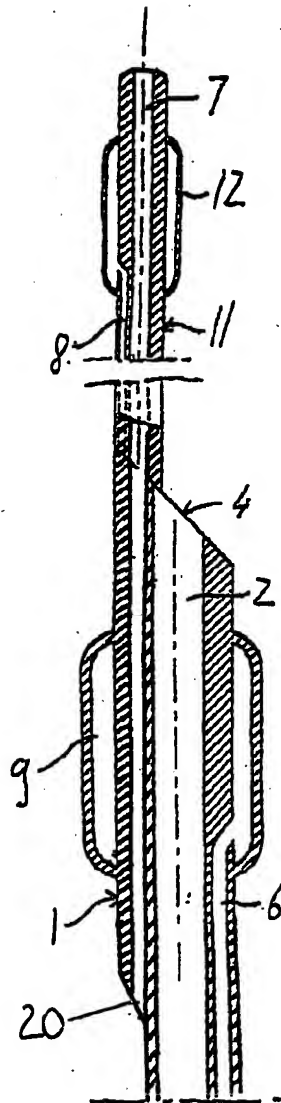
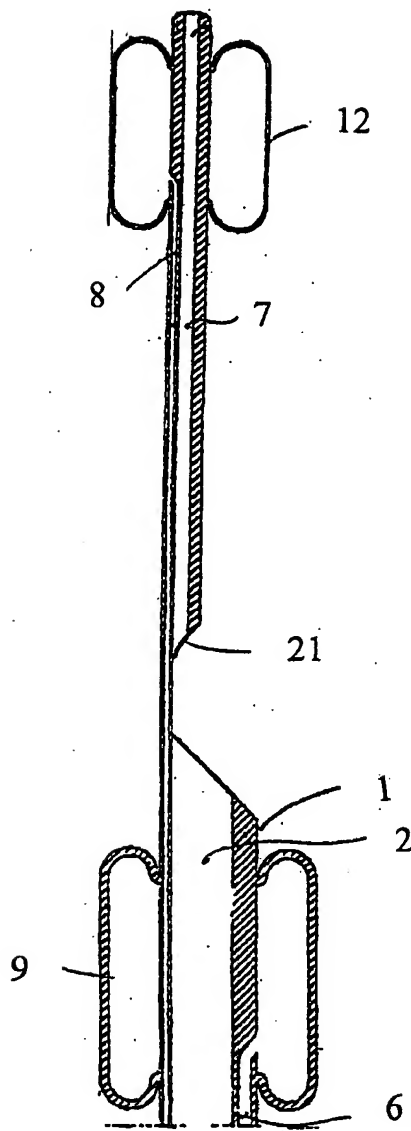




FIG. 6



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/EP 99/09295

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61M25/10 A61B17/12

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 A61M A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category * | Citation of document, with indication, where appropriate, of the relevant passages                                 | Relevant to claim No. |
|------------|--|-----------------------|
| X          | WO 95 05209 A (TECHNOLOGY DEV CENTER)<br>23 February 1995 (1995-02-23)<br>the whole document                       | 1-5,7-9               |
| A          | US 5 833 650 A (IMRAN MIR A)<br>10 November 1998 (1998-11-10)<br>column 9, line 51 -column 13, line 64;<br>figures | 1-5,7,9,<br>10        |
| A          | WO 98 38930 A (PERCUSURGE INC)<br>11 September 1998 (1998-09-11)<br>abstract; figures                              | 1-5,7                 |

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

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"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"A" document member of the same patent family

Date of the actual completion of the international search

11 April 2000

Date of mailing of the international search report

18/04/2000

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# INTERNATIONAL SEARCH REP RT

International application No.

PCT/EP 99/09295

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 11,12  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No  
PCT/EP 99/09295

| Patent document<br>cited in search report |   | Publication<br>date | Patent family<br>member(s) | Publication<br>date |
|---|---|---------------------|----------------------------|---------------------|
| WO 9505209                                | A | 23-02-1995          | AU 7567394 A               | 14-03-1995          |
| US 5833650                                | A | 10-11-1998          | NONE                       |                     |
| WO 9838930                                | A | 11-09-1998          | AU 6347798 A               | 22-09-1998          |
|   |   |                     | AU 6450198 A               | 22-09-1998          |
|   |   |                     | WO 9839047 A               | 11-09-1998          |